



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Edwards

Examiner: Unknown

Serial No. 09/911,874

Art Group: Unknown

Filed: July 24, 2001

For: GERD Treatment Apparatus and Method

Request for Correction of Inventorship Pursuant to 37 C.F.R. §1.48(a)

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicant hereby requests, pursuant to 37 C.F.R. §1.48(a), correction of inventorship in the above identified case by the addition of joint inventor David S. Utley.

As required by 37 C.F.R. §1.48(a), accompanying this Request are:

- 1. A statement from David S. Utley that the error in inventorship occurred without any deceptive intent on his part (TAB 1).
- 2. A Declaration by the actual inventors as required by 37 C.F.R. §1.63 (TAB 2).
- 3. An assignment, executed by the actual inventors, with a Request for Recordation (TAB 3).
- 4. The written consent of the assignee (TAB 4).
- 5. The processing fee as set forth in 37 C.F.R. §1.17(i).

A check payable in an amount to cover the requisite processing fee for this Request to Change Inventorship and Request for Recordation of Assignment is attached. You are authorized to charge any excess fees, or to credit overpayments, to Deposit Account No. 06-2360. A copy of this Request (without attachments) is attached for this purpose.

APR 1 2003 TECHNOLOGY CENTER R3700 Approval of this Request is respectfully solicited.

Respectfully submitted

Daniel D. Ryan

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May 14, 2002



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# STATEMENT OF DAVID S. UTLEY REGARDING CHANGE OF INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

I, David S. Utley, do understand that a Request has been made to change the inventorship of this application by adding me as a joint inventor. I state that the error in inventorship occurred without any deceptive intent on my part. I, together with my fellow joint inventor, have executed a new Declaration.

I know that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the patent issued hereon. All statements made of my own knowledge are true and all statements made on information and belief are believed to be true.

| Dated | 3-29-02 | By Dans S. Viven |
|-------|---------|------------------|
|       |         | David S. Utley   |

APR 0 3 2003 &

COMBINED DECLARATION AND POWER OF ATTORNEY (ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

|                  |  | -                                     | TYPE OF DECLARATION   |         |
|------------------|--|---------------------------------------|---|---------|
| This de          | claration  | on is of                              | the following type: (check one applicable item below)   |         |
|                  | [ ] o  | riginal                               |   |         |
|                  | [ ] d  | esign                                 |   |         |
|                  | [ ] s  | upplem                                | ental   |         |
| NOTE:            | If the de  | eclaration<br>check ne                | n is for an International Application being filed as a divisional, continuation or continuation-in-part applica<br>ext item; check appropriate one of last three items.   | tion    |
|                  | [ ] n  | ational                               | stage of PCT  | •       |
| NOTE:            | If one of the following 3 items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP. |                                       |   | OR      |
|                  | [ ] d  | ivisiona                              |   |         |
|                  | [x] c  | continua                              | ation   |         |
|                  | [ ] c  | ontinua                               | tion-in-part (CIP)  |         |
|                  |  |                                       | INVENTORSHIP IDENTIFICATION   |         |
| WARNIN           | NG:  |                                       | inventors are each not the inventors of all the claims an explanation of the facts, including the ownershi<br>c claims at the time the last claimed invention was made, should be submitted.  | ip of . |
| origina<br>names | l, first a   | ind sole<br>sted bel                  | office address and citizenship are as stated below next to my name. I believe I am a inventor (if only one name is listed below) or an original, first and joint inventor (if pludow) of the subject matter which is claimed and for which a patent is sought on  | ural    |
|                  |  |                                       | TITLE OF INVENTION  |         |
|                  |  | · · · · · · · · · · · · · · · · · · · | GERD Treatment Apparatus and Method   |         |
|                  |  |                                       | SPECIFICATION IDENTIFICATION  |         |
| the spe          | ecificati  | ion of w                              | hich: (complete (a), (b) or (c))  |         |
| ·                | (a)  | []                                    | is attached hereto.   |         |
|                  | (b)  | [x]                                   | was filed on 24 July 2001 as [X] Serial No. 09/911,874  |         |
|                  |  |                                       | or [ ] Express Mail No., as Serial No. not yet known  | · · ·   |
|                  |  |                                       | and was amended on(if applicable).  |         |
| NOTE:            | date by<br>or, in  | y being re<br>the case                | led after the original papers are deposited with the PTO which contain new matter are not accorded a fearted to in the declaration. Accordingly, the amendments involved are those filed with the application payof of a supplemental declaration, are those amendments claiming matter not encompassed in the original transfer of the content | pers    |
|                  | (c)  | [ ]                                   | was described and claimed in PCT International Application No filed on and as amended under PCT Article 19(if any).   | on      |

## **Patent**

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CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Curon Medical, Inc., 735 Palomar Ave., Sunnyvale, California 94806, the owner of 100% interest in this U.S. Patent Application by virtue of an assignment, a true copy of which is attached, hereby assents to the correction of inventorship filed herewith, namely adding David S. Utley as co-inventor.

I state that I am authorized to act on behalf of the assignee.

In accordance with 37 C.F.R. 3.73, the assignee hereby certifies that the evidentiary documents with respect to ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

| Dated <u> </u> | By David S. Viner           |
|----------------|-----------------------------|
|                | Name DAVID S. UTVEY         |
|                | Title CHIEF MEDICAL OFFICER |